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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/780,101			
Filing Date	02/17/2004			
First Named Inventor	Pham, Duc			
Art Unit	2131			
Examiner Name	Abrishamkar, K.			
Attorney Docket Number	AESN3017			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Petent Documents at www.usplo.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

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•	Application Number	10/780,101	
INFORMATION DISCLOSURE	Filing Date	02/17/2004	
	First Named Inventor	Pham, Duc	
STATEMENT BY APPLICANT	Art Unit	2131	
(Use as many sheets as necessary)	Examiner Name	Abrishamkar, K.	
neet 2 of 18	Attorney Docket Number	AESN3017	

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INFORMATION DISCLOSURE	Filing Date	02/17/2004
STATEMENT BY APPLICANT	First Named Inventor	Pham, Duc
(Use as many sheets as necessary)	Art Unit	2131
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Sheet 3 of 18	Attorney Docket Number	AESN3017

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Attorney Docket Number | AESN3017

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/780,101 Filing Date 02/17/2004 INFORMATION DISCLOSURE First Named Inventor Pham, Duc STATEMENT BY APPLICANT Art Unit 2131 (Use as many sheets as necessary) **Examiner Name** Abrishamkar, K.

Sheet

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		Number-Kind Code ^{2 (f known)}			Figures Appear			
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Substitute for form 1449/PTO	Coi	mplete if Known
	Application Number	10/780,101
INFORMATION DISCLOSURE	Filing Date	02/17/2004
	First Named Inventor	Pham, Duc
STATEMENT BY APPLICANT	Art Unit	2131
(Use as many sheets as necessary)	Examiner Name	Abrishamkar, K.
Sheet 5 of 19	Attorney Docket Number	AESN3017

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D a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known Application Number 10/780,101 ON DISCLOSURE Filing Date 02/17/2004 T BY APPLICANT First Named Inventor Pham, Duc Art Unit 2131	
(Use as many sheets as necessary)	Application Number	10/780,101
INFORMATION DISCLOSURE	Application Number 10/780,101 ATION DISCLOSURE Filing Date 02/17/2004 ENT BY APPLICANT First Named Inventor Pham, Duc Art Unit 2131 Examiner Name Abrishamkar, K.	
STATEMENT BY APPLICANT	First Named Inventor	Pham, Duc
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Filing Date 107-00,101 Filing Date 107-00,101 First Named Inventor Art Unit 2131 Examiner Name Abrishamkar, K.	AESN3017	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, senal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	Substitute for form 1449/PTO	Col	mplete if Known
		Application Number	10/780,101
	INFORMATION DISCLOSURE	Filing Date	02/17/2004
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Pham, Duc
		Art Unit	2131
	(Use as many sheets as necessary)	Examiner Name	Abrishamkar, K.
_	Sheet 7 of 18	Attorney Docket Number	AESN3017

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		First Named Inventor	Pham, Duc	
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Sheet 10 of 18			8	Attorney Docket Number AESN3017		
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PTO/SB/08B (08-03)
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Substitute for form 1449/PTO		Complete if Known			
, 00000000	10.10.11.74407.10			Application Number	10/780,101
INFO	RMATION	I DIS	CLOSURE	Filing Date	02/17/2004
STAT	(Use as many sheets as necessary)			First Named Inventor	Pham, Duc
				Art Unit	2131
				Examiner Name	Abrishamkar, K.
Sheet	11	of	18	Attorney Docket Number	AESN3017

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation Is attached.

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Complete if Known

Application Number 10/780,101

Filing Date 02/17/2004

First Named Inventor Pham, Duc

Art Unit 2131

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Abrishamkar, K.

Sheet 12 of 18 Attorney Docket Number AESN3017

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MA	 	^{US-} 5,584,023	12-1996	Hsu, Mike	
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Substitute	e for form 1449/PTO			Complete if Known			
				Application Number	10/780,101		
INFORMATION DISCLOSURE				Filing Date	02/17/2004		
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Sheet	13	of	18	Attorney Docket Number	AESN3017		

F	1 25	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
M	l _	WO 02/103498	12-27-2002	Gladstone		
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Application Number	10/780,101	···			
Filing Date	02/17/2004				
First Named Inventor	Pham, Duc				
Art Unit	2131				
Examiner Name	Abrishamkar, K.				
Attorney Docket Number	AESN3017				

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 144ar 10	Application Number	10/780,101	
INFORMATION DISCLOSURE	Filing Date	02/17/2004	
INFORMATION DISCLOSURE	First Named Inventor	Pham, Duc	
STATEMENT BY APPLICANT	Art Unit	2131	
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WA		^{US-} 5,922,073	07-13-1999	Shimada	
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KA		US- 6,084,969	07-04-2000	Wright et al.	
MA		us- 2001/0016907	08-23-2001	Kang et al.	
M		^{US-} 2002/1094495	12-19-2002	Gladstone et al.	
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M		WO 02/093389	11-21-2002	Avida		
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